

**CHAITMAN LLP**

Helen Davis Chaitman  
Gregory M. Dexter  
465 Park Avenue  
New York, New York 10022  
(888) 759-1114  
[hchaitman@chaitmanllp.com](mailto:hchaitman@chaitmanllp.com)  
[gdexter@chaitmanllp.com](mailto:gdexter@chaitmanllp.com)

*Attorneys for Participating Claimants*

**IN THE UNITED STATES BANKRUPTCY COURT  
FOR THE SOUTHERN DISTRICT OF NEW YORK**

SECURITIES INVESTOR PROTECTION  
CORPORATION,

Plaintiff-Applicant,

v.

BERNARD L. MADOFF INVESTMENT  
SECURITIES LLC,

Defendant.

Adv. Pro. No. 08-1789 (SMB)

SIPA LIQUIDATION

(Substantively Consolidated)

In re:

BERNARD L. MADOFF,

Debtor.

**PARTICIPATING CLAIMANTS' PREHEARING DISCLOSURES**

**I. WITNESSES**

Aaron Blecker by deposition  
Annette Bongiorno  
Winifer Jackson  
Dorothy Khan  
Alethea Leung  
Bernard L. Madoff  
Irving H Picard  
Joann Sala

## II. EXHIBITS

Exh. No	Document Description	ECF No.	Date	Objection N/A/O
1.	Deposition Transcript of Aaron Blecker dated July 1, 2014	ECF 14162-3	07/01/2014	
2.	Deposition Transcript of Annette Bongiorno dated July 8, 2016	ECF 14162-4	07/08/2016	
3.	Deposition Transcript of Winifred Jackson dated May 23, 2016	ECF 14162-5	05/23/2016	
4.	Deposition Transcript of Dorothy Kahn dated May 25, 2016	ECF 14162-6	05/25/2016	
5.	Deposition Transcript of Alethea Leung dated June 2, 2016	ECF 14162-7	06/02/2016	
6.	Deposition Transcript of Bernard L. Madoff dated June 15	ECF 14162-8	06/15/2016	
7.	Deposition Transcript of Joann Sala dated June 13, 2016	ECF 14162-9	06/13/2016	
8.	Supplemental Expert Report of Lisa M. Collura dated December 17, 2015, Attachments D.17 and D.18	ECF 14162-10	12/17/2015	
9.	Supplemental Expert Report of Matthew B. Greenblatt dated December 17, 2015, page 24	ECF 14162-11	12/17/2015	
10.	Madoff Portfolio Management Report as of 12/31/82, MF00092212	ECF 14162-12	12/31/1982	
11.	Madoff Account 1B0022-10, opening form September 22, 1986, MADTBB01988419	ECF 14162-13	09/22/1986	
12.	Madoff Account 1B0157-30/40, folder 08/04/92, 04/18/97, MADTBB01988424	ECF 14162-14	08/04/1982 – 04/18/1997	

Exh. No	Document Description	ECF No.	Date	Objection N/A/O
13.	Madoff Account 1B0156-30/40, folder 4/17/96, MADTBB01988420	ECF 14162-15	04/17/1996	
14.	Madoff Account 1B002310 / 1B0157, folders and opening form, MADTBB01988421,2,3	ECF 14162-16		
15.	Madoff Account 1B0022 / 1B0156, Customer Master File Maintenance form, AMF00154126	ECF 14162-17		
16.	Madoff Account 1B0023 / 1B0157, Customer Master File Maintenance form, AMF00154159	ECF 14162-18		
17.	Madoff Account 1-B0156-3 Report 4/24/97 to 4/30/97, MDPTQQ00030367	ECF 14162-19	04/24/1997 – 04/30/1997	
18.	Madoff Account 1-B0157-3-0 Statement 04/30/97, MDPTPP00260199	ECF 14162-20	04/30/1997	
19.	Madoff Account 1-B0156-3 Report 1/01/07 to 7/31/07, MDPTQQ00030490	ECF 14162-21	01/01/2007 – 07/31/2007	
20.	BLMIS Account 1-B0157-3 Report 1/01/07 to 6/30/07, MDPTQQ00030629	ECF 14162-22	01/01/2007 – 06/30/2007	
21.	BLMIS Account 1-B0156-3-0 Statement 11/30/08	ECF 14162-23		
22.	Aaron Blecker's SIPC Claims Accounts 1B0022 and 1B0156, July 22, 2009	ECF 14162-24	07/22/2009	
23.	Trustee's determination letter, October 19, 2009, Account 1B0022	ECF 14162-25		

Exh. No	Document Description	ECF No.	Date	Objection N/A/O
24.	Aaron Blecker's letter to Judge Burton R. Lifland, November 2, 2009	ECF 14162-26	11/2/2009	
25.	Affidavit of Aaron Blecker November 18, 2009 [ECF 961, Bankr Case 08-01789]	ECF 14162-27		
26.	Trustee's determination letter, May 10, 2010, Account 1B0156	ECF 14162-28	05/10/2010	
27.	Trustee's determination letter, September 29, 2010, Account 1B0157	ECF 14162-29	09/29/2010	
28.	Aaron Blecker's letter to Judge Lifland and Irving Picard, October 19, 2010	ECF 14162-30	10/19/2010	
29.	Baker & Hostetler letter to Aaron Blecker, November 11, 2010	ECF 14162-31	11/11/2010	
30.	Madoff Account 1-00254-1-0 Statements 9/30/86, 10/31/86, 11/30/86, 12/31/86, 9/30/90, MF00064856, MF00065607, MF00066319, MF00067077, MF00026350	ECF 14162-32	09/30/1986 10/31/1986 11/30/1986 12/31/1986 09/30/1990	
31.	Madoff Account 1-00254-9-C Statement 9/30/90, MF00026351	ECF 14162-33	09/30/1990	
32.	Madoff Account 1-00214-1-8 Statements 3/31/81, 4/30/81, 7/31/81, Portfolio Management Report 12/31/82, MF00095502, MF00095958, MF00097550, MF00092212	ECF 14162-34	03/31/1981 04/30/1981 07/31/1981	
33.	Madoff Account 1-00215-9-C Statement 9/30/90, MF00026292	ECF 14162-35	09/30/1990	
34.	Madoff Account 1-B0023-1-0 Statement 8/31/93, MF00381083	ECF 14162-36	08/31/1993	

Exh. No	Document Description	ECF No.	Date	Objection N/A/O
35.	Chart of Appreciation Differential Aaron Blecker Account	ECF 14162-37		
36.	Chart of Appreciation Differential Joint Account	ECF 14162-38		
37.	Madoff Check No. 5671 12/17/91 to Gunther Unflat & Margaret Unflat	ECF 14162-39	12/17/1991	
38.	Madoff Account 1-02103-1-0 Statement 12/31/91, MF00474561	ECF 14162-40	12/31/1991	
39.	BLMIS investors' letters requesting profit withdrawals, MADTBB01989181,3,4	ECF 14162-41		
40.	Email from Bik Cheema, July 17, 2014 re: no cancelled checks to Aaron Blecker in Trustee's possession	ECF 14162-42	07/17/2014	
41.	Email from Bik Cheema, August 1, 2014 re: Trustee not depositing Aaron Blecker	ECF 14162-43	08/01/2014	
42.	Chart showing value of stocks retained by Annette Borgiorno pursuant to Settlement Agreement	ECF 14162-44		
43.	Madoff Account 1-B0022-1-0 Statement 9/30/95, MF00113636	ECF 14162-45	09/30/1995	
44.	BLMIS Account 1-B0156-3 Report 1/01/05 to 1/31/05, MDPTQQ00030460	ECF 14162-46	01/01/2005 – 01/31/2005	
45.	Madoff Account 1-B0023-1-0 Statement 1/31/97, MDPTPPQQ129327	ECF 14162-47	01/31/1997	

Exh. No	Document Description	ECF No.	Date	Objection N/A/O
46.	BLMIS Account 1-B0157-3 Report 1/01/05 to 2/28/05, MDPTQQ00030601	ECF 14162-48	01/01/2005 – 02/28/2005	
47.	Madoff Account 1-00215-1-0 Statements 8/31/83, 7/29/83, MF00368955, MF00368415	ECF 14162-49	08/31/1983 07/29/1983	
48.	Madoff customers' letters requesting profit withdrawals at inception of accounts, AMF00216912	ECF 14162-51		
49.	Arthur Blecker & Sofie Blecker J/T WROS's SIPC Claim Account 1B0157-3	ECF 14162-51		

N – No objection

A – Authenticity

O – Other objection

### III. DEPOSITION EXCERPTS

<u>Witness</u>	<u>Deposition Date</u>	<u>Transcript Page:Line</u>
<u>Aaron Blecker</u>	<u>July 1, 2014</u>	4:19 – 13:3
<u>Annette Bongiorno</u>	<u>July 8, 2016</u>	40:1-4 42:4-20 76:24-79:3 126:2-6 145:9-262:21
<u>Winifer Jackson</u>	<u>Mary 23, 2016</u>	104:19-133
<u>Dorothy Kahn</u>	<u>May 25, 2016</u>	96:6-121
<u>Alethea Leung</u>	<u>June 2, 1016</u>	129:20-158
<u>Bernard L. Madoff</u>	<u>June 15, 2016</u>	6-68:1 105:20-109

<u>Witness</u>	<u>Deposition Date</u>	<u>Transcript Page:Line</u>
----------------	------------------------	-----------------------------

<u>Joann Sala</u>	<u>June 13, 2016</u>	227:6-270:4 279:9-283
-------------------	----------------------	--------------------------

September 30, 2016

**CHAITMAN LLP**

By: /s/ Helen Davis Chaitman  
Helen Davis Chaitman  
Gregory M. Dexter  
[hchaitman@chaitmanllp.com](mailto:hchaitman@chaitmanllp.com)  
[gdexter@chaitmanllp.com](mailto:gdexter@chaitmanllp.com)  
465 Park Avenue  
New York, New York 10022  
Phone & Fax: 888-759-1114

*Attorneys for Participating Claimants*

**CERTIFICATE OF SERVICE**

I hereby certify that on September 30, 2016, I caused a true and correct copy of the foregoing document to be served by electronic mail upon:

**BAKER & HOSTETLER LLP**

45 Rockefeller Plaza  
New York, New York 10111  
Telephone: 212.589.4200  
Facsimile: 212.589.4201  
Seanna R. Brown  
Email: [sbrown@bakerlaw.com](mailto:sbrown@bakerlaw.com)

*Attorneys for Irving H. Picard, Trustee for the  
Substantively Consolidated SIPA Liquidation  
of Bernard L. Madoff Investment Securities  
LLC and the Estate of Bernard L. Madoff*

**CHAITMAN LLP**

By: /s/ Helen Davis Chaitman  
Helen Davis Chaitman  
[hchaitman@chaitmanllp.com](mailto:hchaitman@chaitmanllp.com)  
465 Park Avenue  
New York, New York 10022  
Phone & Fax: 888-759-1114

*Attorneys for Participating Claimants*